| •   |   |
|-----|---|
| 1   | JOHN K. VAN DE KAMP, Attorney General of the State of California                                      |
| 2   | VIVIEN HARA HERSH Deputy Attorney General   |
| 3   | 455 Golden Gate Avenue, Suite 6200<br>San Francisco, California 94102-3658                            |
| . 4 | Telephone: (415) 557-1346   |
| 5   | Attorneys for Complainant   |
| 6   |   |
| 7   | BEFORE THE MEDICAL BOARD OF CALIFORNIA DIVISION OF MEDICAL QUALITY                                    |
| 8   | STATE OF CALIFORNIA   |
| 9   |   |
| 10  | In the Matter of the Accusation )   |
| 11  | Against: ) No. D-4267   |
| 12  | PAUL ALLEN WALTERS, JR., M.D. ) 830 Tolman Drive ) SURRENDER OF CERTIFICATE                           |
| 13  | Stanford, California 94305 ) OF LICENSURE PURSUANT TO Certificate No. G049975 ) STIPULATION AND ORDER |
| 14  | ) <u>THEREON</u> Respondent.  |
| 15  | <u> </u>  |
| 16  | IT IS HEREBY STIPULATED AND AGREED by and between   |
| 17. | respondent PAUL ALLEN WALTERS, M.D. (hereinafter referred to as                                       |
| 18  | "respondent") with the advice of his attorney of record, Kenneth                                      |
| 19  | L. Freeman and the Medical Board of California, Division of   |
| 20  | Medical Quality, State of California (hereinafter referred to as                                      |
| 21  | the "Board"), by and through its attorney John K. Van De Kamp,  |
| 22  | Attorney General, by Vivien Hara Hersh, Deputy Attorney General,                                      |
| 23  | as follows:   |
| 24  | 1. Respondent has received and read the Accusation  |
| 25  | which is presently on file and pending in Case No. D-4267   |
| 26  | (hereinafter referred to as the "Accusation") before the Board,                                       |
| 27  | and he understands the nature of the charges alleged in the   |

Accusation, a true and correct copy of which is attached hereto as "Exhibit A."

- 2. Respondent has been fully advised of his right to a hearing on the charges and allegations contained in said Accusation, his right to reconsideration, to judicial review, to appeal, and to any and all other rights which may be accorded him under the California Administrative Procedure Act and other laws of the State of California, and respondent understands these rights. Respondent further understands that by entering into this stipulation, he voluntarily waives his right to a hearing, to reconsideration, to judicial review, to appeal, and to any and all other rights which may be accorded him by the California Administrative Procedure Act and other laws of the State of California with reference to said Accusation.
- 3. Kenneth J. Wagstaff, complainant in the case, as Executive Director of the Medical Board of California, made and filed the Accusation solely in his official capacity, and respondent's license history and status as set forth at paragraph 2 of the Accusation is true and correct.
- 4. Respondent admits the facts alleged in the Third Cause for Disciplinary Action of the Accusation and admits that said facts constitute cause for disciplinary action pursuant to Business and Professions Code section 2305.
- 5. On or about October 17, 1989, respondent resigned in writing his California Physician's and Surgeon's Certificate No. G049975. In settlement of Case No. D-4267, respondent hereby agrees to surrender his certificate of licensure to the

Medical Board of California, thereby relinquishing his right to practice medicine in the State of California.

- 6. Respondent expressly agrees to waive the renewal provisions of Business and Professions Code sections 2427 and 2428 and expressly agrees that he will not seek reinstatement of his physician's and surgeon's certificate No. G049975 or seek relicensure in the State of California.
- 7. Should respondent at any time after this surrender apply to the Board to have his certificate renewed, restored, reissued, or reinstated, or apply for a new license, in derogation of this agreement, the Board may, in considering whether respondent's right to practice medicine should be restored, investigate and consider the activities of the respondent since the surrender of his certificate; investigate and consider the allegations in the Accusation; and consider his activity during the time his license was in good standing. Respondent specifically waives any legal or equitable defenses he may have to the Board's exercise of these options, and in particular waives the defense of laches.
- 8. Should respondent at any time after this surrender apply to the Board to have his certificate renewed, restored, reissued or reinstated or apply for a new license, in derogation of this agreement, respondent understands that the Board may deny the issuance of a license, issue a probationary license with appropriate terms and conditions, or grant a license.
- 9. In consideration of the above, the Medical Board of California agrees to accept the surrender of respondent's

| 1                          | certificate No. G049975 in settlement of case No. D-4267 before   |
|----------------------------|---|
| 2                          | the Division of Medical Quality of the Board.   |
| 3                          | DATED: October 15,1990 JOHN K. VAN DE KAMP  |
| 4                          | Attorney General of the   |
| 5                          | State of California   |
| 6                          | Iwan Hara Sout  |
| 7                          | VIVIEN HARA HERSH<br>Deputy Attorney General  |
| 8                          | Attorneys for Complainant   |
| 9                          |   |
| 10                         | DATED: October 12, 1990   |
| 11                         |   |
| 12                         | KENNETH L. FREEMAN  |
| 13                         | Attorney for Respondent   |
| 14                         | I hereby certify that I have read this Surrender of   |
| 15                         | Certificate of Licensure Pursuant to Stipulation in its entirety,   |
| 16                         | that my attorney of record has fully explained the legal  |
| 17                         |   |
| 1                          | significance and consequences thereof, that I fully understand  |
| 18                         | significance and consequences thereof, that I fully understand the terms of this stipulation and I voluntarily agree to them,   |
| 18<br>19                   | the terms of this stipulation and I voluntarily agree to them, and in witness thereof, I affix my signature this $9^{\frac{2}{12}}$   |
|                            | the terms of this stipulation and I voluntarily agree to them,  |
| 19                         | the terms of this stipulation and I voluntarily agree to them, and in witness thereof, I affix my signature this $9^{\frac{2}{12}}$   |
| 19<br>20                   | the terms of this stipulation and I voluntarily agree to them, and in witness thereof, I affix my signature this 9th day of October, 1990 at Virginio Deach, VA.                                      |
| 19<br>20<br>21             | the terms of this stipulation and I voluntarily agree to them, and in witness thereof, I affix my signature this 9th day of October, 1990 at Virginio Beach, VA.  ——————————————————————————————————— |
| 19<br>20<br>21<br>22       | the terms of this stipulation and I voluntarily agree to them, and in witness thereof, I affix my signature this 9th day of October, 1990 at Virginio Deach, VA.                                      |
| 19<br>20<br>21<br>22<br>23 | the terms of this stipulation and I voluntarily agree to them, and in witness thereof, I affix my signature this 9th day of October, 1990 at Virginio Beach, VA.                                      |

# LXHIBIT A

|  | <b>  </b>  |
|--|--|
| JOHN K. VAN DE KAMP, Attorney General of the State of California VIVIEN HARA HERSH | JOHN K. VAN DE KAMP, Attorney General                                |
|  | VIVIEN HARA HERSH  |
| 3  | Deputy Attorney General 455 Golden Gate Avenue, Room 6200            |
| 4  | San Francisco, California 94102<br>Telephone: (415) 557-1346         |
| 5  | Attorneys for Complainant  |
| 6  |  |
| 7  | BEFORE THE MEDICAL BOARD OF CALIFORNIA                               |
| 8  | DIVISION OF MEDICAL QUALITY STATE OF CALIFORNIA                      |
| 9  |  |
| 10   | In the Matter of the Accusation )                                    |
| 11   | Against: ) No. D-4267  |
| 12   | PAUL ALLEN WALTERS, JR., M.D. ) <u>ACCUSATION</u> 830 Tolman Drive ) |
| 1.3  | Stanford, California 94305 ) Certificate No. G49975 )                |
| 14   | Respondent. )  |
| 15   | )  |
| 16   | Complainant, Kenneth J. Wagstaff, charges and alleges                |
| 17   | as follows:  |
| 18   | 1. He is the Executive Director of the Medical Board                 |
| 19   | of California (hereinafter "the Board) and makes these charges       |
| 20   | and allegations solely in his official capacity.                     |
| 21   | 2. On or about May 23, 1983, the Board issued to                     |
| 22   | respondent Paul Allen Walters, Jr., M.D. (hereinafter                |
| 23   | "respondent") physician and surgeon certificate number G49975.       |
| 24   | Said certificate is paid and current at the present time with an     |
| 25   | expiration date of February 28, 1991. No prior disciplinary          |
| 26   | action has been taken against this certificate.                      |
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- 4. Business and Professions Code sections 2220 and 2227 provide that the Division of Medical Quality of the Board (hereinafter "the Division") may take specified disciplinary action against physician and surgeon certificate holders who have been found guilty of violating any provisions of the Medical Practice Act (Business and Professions Code section 2000 ff.).
- 5. Business and Professions Code section 2234 (formerly section 2361) requires the Division to take action against any licensee who is charged with unprofessional conduct. Unprofessional conduct is defined therein to include, but not to be limited to, (b) gross negligence.
- 6. Business and Professions Code section 726 (formerly section 730) provides that the commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer which is substantially related to the qualifications, functions and duties of the occupation for which a license was issued constitutes unprofessional conduct and grounds for disciplinary action for a physician and surgeon.

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7. Business and Professions Code section 2305 provides that the revocation, suspension, or other discipline by another state of a license or certificate to practice medicine issued by the state to a licensee under the Medical Practice Act shall constitute grounds for disciplinary action for unprofessional conduct against such licensee in this state.

### FIRST CAUSE FOR DISCIPLINARY ACTION

- 8. In or about 1969, respondent undertook to care for and treat patient J.H., a female adult. Ms. H. had a history of childhood sexual abuse and parental neglect. Ms. H. remained in private psychotherapy with respondent until 1983, when respondent left Massachusetts to practice at Cowell Student Health Center at Stanford University in Stanford, California.
- 9. In or about the summer of 1972, respondent had J.H. sit on his lap and touch his penis. Between the summer of 1972 and the summer of 1981, respondent had J.H. perform fellatio on him on numerous occasions, as often as two out of three therapy sessions per week. On one occasion, respondent performed oral sex on J.H. All of this conduct occurred in respondent's office during regularly scheduled therapy sessions.
- 10. As a result of respondent's conduct, J.H. was unable to develop relationships with other men and became mistrustful of men in general. J.H.'s progress in subsequent therapy was substantially hindered as a result of respondent's conduct.
- 11. Respondent's conduct, as set forth in paragraphs 8, 9, and 10, above constitutes gross negligence in the practice of

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medicine and unprofessional conduct with a patient, and therefore cause exists for disciplinary action pursuant to Business and Professions Code sections 2234 and 2234(b)(formerly sections 2361 and 23161(b)).

## SECOND CAUSE FOR DISCIPLINARY ACTION

- 12. The allegations of paragraphs 8, 9, and 10, above are incorporated herein by reference.
- 13. Respondent's conduct as set forth above constitutes sexual misconduct and/or relations with a patient and therefore cause exists for disciplinary action pursuant to Business and Professions Code section 726 (formerly section 730), particularly with reference to conduct occurring from 1979 through 1981.

### THIRD CAUSE FOR DISCIPLINARY ACTION

- 14. On or about May 17, 1989, the Board of Registration in Medicine of the Commonwealth of Massachusetts filed a Statement of Allegations against respondent in their Adjudicatory Case No. 89-24-SU, substantially based upon the factual allegations set forth in paragraphs 8, 9, and 10, above. A true and correct copy of said Statement of Allegations is appended hereto as Exhibit A.
- 15. On or about October 17, 1989, respondent submitted a Resignation Pending Discipline in said Adjudicatory Case No. 89-24-SU resigning all licensing privileges in Massachusetts and stating that he would resign licenses to practice medicine in other states contemporaneously with the resignation. On or about October 20, 1989, the Board of Registration in Medicine of the Commonwealth of Massachusetts adopted the resignation as its

Final Order in Case No. 89-24-SU. A true and correct copy of this Final Order is attached hereto as Exhibit B.

The facts set forth paragraphs 14 and 15 establish that cause for disciplinary action against respondent's California physician and surgeon's license exists under Business and Professions Code section 2305.

WHEREFORE, complainant prays that the Board hold a hearing on the charges and allegations herein and thereafter issue an order revoking or suspending Certificate No. G49975, heretofore issued to respondent Paul Allen Walters, Jr., and taking such other and further action as is deemed necessary and proper.

June 4, 1990 DATED:

KENNETH

Executive Director

Medical Board of California

State of California

Complainant



#### COMMONWEALTH OF MASSACHUSETTS

SUFFOLK, ss

BOARD OF REGISTRATION IN MEDICINE

ADJUDICATORY CASE NO. 89-24-SU

In the Matter of Paul A. Walters, M.D.

#### STATEMENT OF ALLEGATIONS

The Board of Registration in Medicine ("the Board") has reason to believe that Paul A. Walters, M.D. ("the Respondent") has engaged in conduct which calls into question his ability to practice medicine by engaging in sexual misconduct with a patient between 1972 and 1981.

#### FACTS RELATING TO PAUL A. WALTERS, M.D.

His last known home address is 830 Tolman Drive, Stanford, California 94305. His last known professional address is Cowell Student Health Services, 606 Campus Drive, Stanford, California 94305. The Respondent is a 1951 graduate of Duke University School of Medicine. The Respondent's principal area of specialty is psychiatry. He was licensed to practice medicine in the Commonwealth of Massachusetts under Board Number 24615 from June, 1956 until 1987, when he did not renew his Massachusetts license.

- 2. Between 1969 and 1983, Patient A was a private psychiatric patient of the Respondent's.
- 3. Between 1972 and 1979, Patient A was undergoing psychoanalysis with the Respondent three to five times a week.
- 4. On or about the summer 1972, the Respondent had Patient A sit on his lap and touch his penis.
- 5. Between the summer of 1972 and the summer of 1981, Patient A performed fellatio on the Respondent on numerous occasions, sometimes as often as two out of three analysis sessions per week.
- 6. On one occasion, the Respondent performed oral sex on Patient A.
- 7. All of the sexual contact occurred in the Respondent's medical office.
- 8. On or about September, 1982, the Respondent informed Patient A that he would be leaving the Commonwealth in June, 1983.
- 9. On or about June, 1983, the Respondent terminated with Patient A and failed to review with Patient A her progress during therapy or prepare her for the emotional impact of termination.
- 10. On or about June, 1983, when the Respondent was terminating with Patient A, she asked him for a referral to another psychiatrist, because her mother was in the terminal stages of cancer.

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- 11. The Respondent declined to refer Patient A to another therapist and told her she would have to endure this difficult time in her life.
- 12. As a result of the Respondent's treatment of her,
  Patient A was unable to develop relationships with other men
  for a large part of her adult life and became mistrustful of
  others in general and men a articular.
- 13. As a result of the Respondent's treatment of
  Patient A, her progress in subsequent therapy was hindered.

#### LEGAL PASIS FOR PROPOSED RELIEF

Pursuant to G.L. c. 112, sec. 5(C) and 243 CMR

1.03(5)(a)(3), the Board has jurisdiction to discipline a

physician for conduct which calls into question his ability

to practice medicine, including, but not limited to gross

misconduct in the practice of medicine, or practicing

medicine beyond its authorized scope, or with gross

incompetence, or with gross negligence on a particular

occasion or negligence on repeated occasions.

Pursuant to 243 CMR 1.03(5)(a)(18), the Board has jurisdiction to discipline a physician for misconduct in the practice of medicine.

Pursuant to G.L. c. 112, sec. 61, the Board has jurisdiction to discipline a physician for malpractice.

Under the provisions of Raymond v. Board of

Registration in Medicine, 387 Mass. 708 (1982) and Levy v.

Board of Registration in Medicine, 378 Mass. 519 (1979), the

Board may discipline a physician for conduct which

undermines confidence in the integrity of the medical profession or for conduct which shows lack of good moral character.

## NATURE OF THE RELIEF SOUGHT

The Board is authorized and empowered to order appropriate disciplinary measures which may include revocation or suspension of the Respondent's license or one or more of the following: reprimand, censure, fine, the performance of uncompensated community service, a course of education and training, or other limitations upon the Respondent's practice of medicine.

#### ORDER

Wherefore, the Board orders the Respondent to show cause why he should not be disciplined for the above described conduct.

Andrew G. Bodnar, M.D., J.D. Chairman

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EXHIBIT B

# COMMONWEALTH OF MASSACHUSETTS

# BOARD OF REGISTRATION IN MEDICINE

Suffolk, ss.

Adjudicatory Case No. 89-25-SU

In the Matter of

PAUL A. WALTERS, M.D.

FINAL ORDER

On the date referenced below, at a duly convened meeting of the Board of Registration in Medicine ("Board"), the Board considered the statement of the above-named Respondent setting forth the terms of his resignation, a copy of which is attached and marked Exhibit "A", which was submitted pursuant to 243 CMR 1.05(5)(a) during the pendency of the above-captioned disciplinary action.

Having determined that the Respondent's resignation is in conformity with 243 CMR 1.05(5)(a), the Board voted to accept his resignation.

Andrew G. Bodnar, M.D., J.D.
Chairman

CC: Peggy Holland, Esq.
Board of Registration in Medicine
10 West St.
Boston, Ma. 02111

David J. Apfel, Esq. Goodwin, Procter & Hoar Exchange Place Boston, Ma. 02109-2881

#### COMMONWEALTH OF MASSACHUSETTS

#### BOARD OF REGISTRATION IN MEDICINE

Suffolk; ss.

Adjudicatory Case/ No. 89-25-56

In the Matter of

Faul A. Walters, M.D. )

RESIGNATION PENDING DISCIPLINE, 243 CMR 1.05 (5) (a)

Paul A. Walters, M.D., being duly sworn, deposes and savs:

- 1. I desire to resign my licensure to practice medicine in the Commonwealth of Massachusetts, in accordance with 243 CMR 1.05 (5) (a).
  - My resignation is tendered voluntarily.
- 3. I realize that this resignation is a final act which deprives me of all privileges of registration and is not subject to reconsideration or judicial review.
- 4. I am not currently licensed to practice in any other state or jurisdiction, or I will resign any other licenses contemporaneously with this resignation. I will make no attempt to gain licensuré elsewhere.

Signed under the pains and penalties of perjury, this \_day of\_\_\_\_\_\_\_, 19 /

Paul A. Walters, M.D.

Notary:

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by Coly

con 10/17/29

con 10/17/29

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